

GP #2
2681
09.25.02

PO



Attorney's Docket No. 42390.P11863

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:)
)
Jay H. Connelly)
)
Application No.: 09/882,091)
)
Filed: June 15, 2001)
)
For: METHODS AND APPARATUS)
FOR PROVIDING RATING FEEDBACK)
FOR CONTENT IN A BROADCAST)
SYSTEM)

Examiner: Not Yet Assigned
Art Unit: 2681

RECEIVED
MAR 01 2002
Technology Center 2600

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

 X 37 C.F.R. §1.97(b).

 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

 A statement pursuant to 37 C.F.R. §1.97(e) or

 A check for \$240.00 for the fee under 37 C.F.R. § 1.17(p).

 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:


- (1) A statement pursuant to 37 C.F.R. §1.97(e);
- (2) A petition requesting consideration of the Information Disclosure Statement; and
- (3) A check for \$ for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: FEB. 12, 2002


Lance A. Termes
Reg. No. 43,184

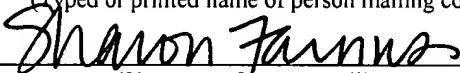
12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(206) 292-8600

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231 on February 12, 2002

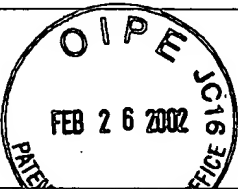
(Date of Deposit)

Sharon E. Farnus

(Typed or printed name of person mailing correspondence)



(Signature of person mailing correspondence)

Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 42390.P11863	Application Number: 09/882,091
Page 1 of 3		First Named Inventor: Jay H. Connelly	RECEIVED MAR 01 2002 Technology Center 2600
		Filing Date: June 15, 2001	

U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code ²	Kind (If known)			
			4,602,279	Freeman	07/22/86	
			5,155,591	Wachob	10/13/92	
			5,444,499	Saitoh	08/22/95	
			5,446,919	Wilkins	08/29/95	
			5,564,088	Saitoh	10/08/96	
			5,600,364	Hendricks et al.	02/04/97	
			5,686,954	Yoshinobu et al.	11/11/97	
			5,945,988	Williams et al.	08/31/99	
			5,977,964	Williams et al.	11/02/99	
			5,991,841	Gafken et al.	11/23/99	
			6,002,393	Hite et al.	12/14/99	
			6,020,883	Herz et al.	02/01/00	
			6,114,376	Prichard et al.	09/05/00	
			6,119,189	Gafken et al.	09/12/00	
			6,131,127	Gafken et al.	10/10/00	
			6,144,376	Connelly	11/07/00	
			6,184,918	Goldschmidt Iki et al.	02/06/01	

FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³ Code ⁵	Number ⁴	Kind (If known)				
			WO 00/01149		NDS LIMITED	01-06-00		
			WO 99/65237		METABYTE, INC.	12-16-99		

Examiner Signature	Date Considered
--------------------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 42390.P11863	Application Number: 09/882,091
Page 2 of 3		First Named Inventor: Jay H. Connelly	RECEIVED MAR 01 2002
		Filing Date: June 15, 2001	Technology Center 2600

OTHER NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²
		Intel: Intel Architecture Labs. Internet and Broadcast: The Key To Digital Convergence. Utilizing Digital Technology to Meet Audience Demand, 2000, Pages 1-4.	
		Intel: Intel Architecture Labs. Client Infrastructure for Internet-Based Data Services for Digital Television: Enabling A New Class of DTV Services, 2000, Pages. 1-10.	
		Enhanced Digital Broadcast, Web Page [online]. IAL Digital Entertainment [retrieved on 8 - 21, 2001] Retrieved from the Internet: <URL: http://www.developer.intel.com/ial/home/digentertain/edb.htm . Pages 1-3.	
		Internet Protocol (IP) Multicast Technology Overview. White paper [online]. Cisco System, Inc. June 27, 2001. [retrieved on 6/29/2001] Retrieved from the Internet: <URL: http://www.cisco.com/warp/public/cc/pd/iosw/tech/ipmu_ov.htm Pages 1-16.	
		"What is Replay TV? Introducing the new ReplayTV 2020". Web Page [online]. ReplayTV [retrieved on March 21, 2000] Retrieved from the Internet: URL<: http://www.replaytv.com/overview/index.htm . Page 1.	
		"Features. Your Time Your Schedule". Webpage [online]. ReplayTV [retrieved on March 21, 2000] Retrieved from the Internet: <URL: http://www.replaytv.com/overview/features.htm . Pages 1-2.	
		Frequently Asked Questions. Will ReplayTV make me more popular? And other frequently asked questions. ReplayTV, Web Page [online]. [retrieved on March 21, 2000] Retrieved from the internet: <URL: http://www.replaytv.com/overview/faqs.htm Pages 1-4.	
		ReplayTV: Features/Benefits. ReplayTV, Web Page [online]. [retrieved on March 21, 2000] Retrieved from the internet<URL: http://www.replaytv.com/overview/details.htm Pages 1-3.	
		Competitive Differences. ReplayTV, Web Page. [online] [retrieved on March 21, 2000] Retrieved from the Internet<URL: http://www.replaytv.com/overview/differences.htm . Pages 1-2.	
		Technical Specifications. ReplayTV, Web Page. [online] [retrieved on March 21, 2000] Retrieved from the internet<URL: http://www.replaytv.com/overview/techspecs.htm . Page 1.	
		SMYTH, B. et al, A Personalized Television Listings Service; Communications of the ACM; August 2000, Vol. 43, No. 8., Pages 107-111.	

Examiner Signature		Date Considered	
-------------------------------	--	----------------------------	--

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 42390.P11863	Application Number: 09/882,091
Page 3 of 3		First Named Inventor: Jay H. Connelly	RECEIVED MAR 01 2002
		Filing Date: June 15, 2001	
OTHER ART - NO PATENT LITERATURE DOCUMENTS Technology Center 2600			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²
		A Better Way to WATCH TV. What is TiVo? Web Page. [online] [retrieved on March 21, 2000] Retrieved from the internet<URL: http://www.tivo.com/what/intro.html . Page 1.	
		Control LIVE TV. What is TiVo? Web Page. [online] [retrieved on March 21, 2000] Retrieved from the internet<URL: http://www.tivo.com/what/intro2.html . Page 1.	
		Something GOOD is Always On. What is TiVo? Web Page. [online] [retrieved on March 21, 2000] Retrieved from the internet<URL: http://www.tivo.com/what/intro3.html . Page 1.	
		Channel SURF in a Whole New Way. What is TiVo? Web Page. [online] [retrieved on March 21, 2000] Retrieved from the internet<URL: http://www.tivo.com/what/intro4.html . Page 1.	
		DIGITAL Recordings without the Tape. What is TiVo? Web Page. [online] [retrieved on March 21, 2000.] Retrieved from the internet<URL: http://www.tivo.com/what/intro5.html . Page 1.	
		What you want, When you Want it.™ What is TiVo? Web Page. [online] [retrieved on March 21, 2000] Retrieved from the internet<URL: http://www.tivo.com/what/how.html . Page 1.	
		PRODUCT Specifications. What is TiVo? Webpage. [online] [retrieved on March 21, 2000] Retrieved from the internet<URL: http://www.tivo.com/what/how2.html . Page 1.	
		Frequently asked Questions. Web Page. [online] [retrieved on March 21, 2000] Retrieved from the internet<URL: http://www.tivo.com/what/faq_sub.html . Pages 1-9.	
		"Program and System Information Protocol for Terrestrial Broadcast and Cable, (Revision A) and Amendment No. 1," Advanced Television Systems Committee, December 23, 1997, 135 pages.	
		"Digital Video Broadcasting (DVB); Specification for Service Information (SI) in DVB Systems," ETSI EN 300 468, Nov. 2000, 83 pages, Volume 1.4.1 European Broadcasting Union.	
		HASKELL, BARRY G. et al., Digital Video: An Introduction to MPEG-2; 1997; Pgs. 280-283; Chapman & Hall; New York, NY.	



Examiner Signature	Date Considered
-----------------------	--------------------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.